Supreme Alliance LLC (SAL) Relationship Summary

April 1, 2026

SAL (referred to as "we" or "us") is registered with the Financial Industry Regulation Authority (FINRA) as a broker-dealer and the Securities and Exchange Commission as a registered investment adviser. We have employed Registered Representatives ("Representatives") who offer brokerage and investment advisory services. Brokerage and investment advisory services, and the fees associated with each differ. It is of the utmost importance you recognize the respective differences.

This relationship summary will explain the various services SAL offers, how the Firm charges for each service, and any conflicts of interest that exist when we provide our services. To help you research firms and financial Representatives, you can access free and simple tools at www.investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

Relationships and Services

Our Representatives offer brokerage services, investment advisory services, or both, depending on their licenses. Independent advisory services are offered by some of our Registered Representatives. Each Professional generally provides access to our primarily offered investment products, such as variable life insurance, mutual funds, and 529 plans. Please note that the range of investment products available to you may be limited depending on the licenses your Professional holds or if he or she is located at a financial institution that does not offer certain options.

We encourage you to ask your Professional whether any investment limitations or account requirements apply. If your Professional offers you both brokerage and advisory services, your Professional will inform you when he or she offers an investment recommendation or advice, and whether the recommendation or advice is part of a brokerage or advisory service. For a few of the key differences between brokerage and investment advisory services, look below.

Brokerage Services

- Brokerage services include taking your orders and executing your securities transactions; and, making recommendations for you to buy, sell, or hold securities.
- In most cases, we provide recommendations to you on specific investments, but you as the client make the final investment decisions for your account.
- We don't monitor brokerage investments for you, unless we state otherwise in writing.
- We may provide brokerage services (but not investment recommendations) to you if your Professional is providing advisory services through a separate investment advisory firm.
- We do not have account or investment minimums.
- We do not exercise discretionary authority.

Investment Advisory Services

- Some of the investment advisory services SAL may offer include wrap fee programs and non-wrap fee programs; mutual fund asset allocation programs; and, advisory programs offered by third-party investment advisory firms.
- You'll typically grant us discretion to buy and sell investments in your account without asking you in advance. You may limit our discretion, such as by imposing reasonable restrictions on investing in certain securities or groups of securities. In other investment advisory accounts, you grant investment discretion to another financial institution.
- Some of our investment advisory accounts are nondiscretionary, which means you are required to pre-approve each investment transaction that we recommend.
- We'll typically monitor accounts, and specific investments within accounts, on an ongoing basis to align with your investment goals. However, in limited-scope advisory relationships, we won't provide ongoing monitoring.
- More detailed information about our advisory services can be found in the Form ADV for your advisory program.

QUESTIONS TO ASK YOUR PROFESSIONAL:

- Given my financial situation, should I choose an investment advisory service? Should I choose a brokerage service? Should I choose both types of services? Why or why not?
- How will you choose investments to recommend to me?
- What is your relevant experience, including your licenses, education, and other qualifications? What do these qualifications mean?

Fees, Costs, Conflicts, and Standard of Conduct

What Fees Will I Pay?

Investing is an individual journey, and we want to provide you with options. Below we outline the fees you could be charged for both brokerage and advisory accounts depending on your investment choices. Fee Schedules for our brokerage and advisory programs can be found at https://www.supremealliancellc.com

When we provide you with a recommendation as your broker-dealer or act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the recommendations and investment advice we provide to you. Here are some examples to help you understand what this means:

Fees Associated with Brokerage Services

- You will pay us a transaction-based fee, generally referred to as a commission, every time you buy or sell an investment. For investments in certain products like mutual funds and annuities, we receive transaction-based fees from the investment product sponsor in the form of asset- based sales charges (e.g., sales loads). These fees are based on the amount invested in a product and, depending on the product, may be based how long you hold the investment. Our receipt of asset-based sales loads creates an incentive to recommend products or sponsors that include such charges.

Fees Associated with Investment Advisory Accounts

- You will pay an on-going asset-based fee for our services based on the value of the cash investments in your advisory account.
- For investment advisory services, we typically charge an ongoing quarterly fee (sometimes referred to as an asset-based fee). This fee is a percentage of the value of your account. You pay this fee even if you don't buy or sell investments. The more assets you have in an asset-based fee account, the more you'll pay us in fees. This creates an incentive to encourage you to increase the size of your account, including by transferring or rolling over assets from other accounts. For some types of accounts, there is a per transaction charge in addition to an asset-based fee. We may also charge an hourly fee or fixed fee for additional services such as financial planning and consulting services that are of limited duration or nature.
- For wrap fee program accounts, you will pay us a single asset-based fee for advisory services. This fee also covers most transaction costs and certain administrative and custodial costs associated with your investments. If you expect to trade infrequently or to pursue a "buy and hold" strategy, a wrap fee program may cost you more than paying for the program's services separately, and you may want to consider a brokerage relationship rather than an advisory relationship.
- The fee you pay to your Professional is generally negotiated with him or her directly, and subject to different maximums, depending on the advisory program selected.

Other Fees and Costs

If applicable to your account, we'll charge you directly for other fees in addition to brokerage and advisory fees.

You may also incur fees charged by the particular investment product in which you are invested, including mutual funds, and ETFs, in addition to advisory fees charged by us. Some of these fees may be shared, as described below in Third-Party Payments. Certain investment products have significant fees triggered by events, (e.g., annuities may include mortality, expense, and administrative fees, and fees for excessive transfers or early withdrawals).

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Detailed information on our advisory fees can be found in the Form ADV for your advisory program. Detailed information on our brokerage fees can be found at Brokerage Compensation Information and Related Conflicts of Interest and, depending on the investment product in which you invest, may be included in the product's prospectus or other offering document.

QUESTIONS TO ASK YOUR PROFESSIONAL:

- Help me understand how these fees and costs might affect my investments.
- If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?

Conflicts of Interest

When we provide you with a recommendation as a broker-dealer or act as your investment adviser, we must act in your best interest and not put the Firm's interest(s) ahead of yours. At the same time, the way the Firm generates revenue DOES create various conflicts with your interests as a customer.

You should understand and ask us about these conflicts because they can affect the recommendations and investment advice, we provide you. Here are some examples of potential conflicts of interest. If you have questions about whether any of these situations could apply to your investments, ask your Professional.

Third-Party Payments

We receive compensation from third parties related to investments you make in certain products, including mutual funds, ETFs, annuities, and other investments. This compensation includes ongoing distribution charges (e.g., 12b-1 fees or trail payments), which an investment product charges you and then pays to us. We also receive fees from investment products and/or their sponsors for recordkeeping and other administrative services we provide in relation to your investments.

Revenue sharing payments are another type of third-party compensation we receive from sponsors who participate in our marketing programs. These programs support our product marketing to our Representatives and for education and training efforts and facilitate communications between sponsors and our Representatives. Finally, certain sponsors pay us to make their investment products available on our platform. Because we receive payments from these third parties, there is an inherent incentive for us to recommend or invest your assets in those investment products.

QUESTIONS TO ASK YOUR PROFESSIONAL:

- How might your conflicts of interest affect me, and how will you address them?
- What are your legal obligations to me when providing recommendations as my broker-dealer or when acting as my investment adviser?
- How else does your firm make money and what conflicts of interest do you have?

How do your financial Representatives make money?

Our Representatives are independent contractors and employees of SAL. The agreement between each Registered Representative and SAL sets out the payments we make to them. Those who provide investment advisory services receive a portion of the advisory fee you pay on a quarterly basis. Representatives who provide you brokerage services receive a portion of the commissions earned by the Firm. Receiving a portion of the advisory or brokerage fees you pay to us creates an incentive for them to encourage you to increase your investment account size or transact more frequently. We also compensate Representatives based on production, including payments based on the amount of client assets they service and the products they sell. In addition, our Representatives receive different levels of compensation for selling different types of investments or services. This could include, for example, a share of the 12b-1 fees, trail payments, or sales loads paid to us by an investment product. Although your Professional must recommend investment products or manage your account in your best interest, these additional forms of compensation does create a potential incentive for your Registered Representative to recommend specific financial products.

Our Representatives may receive compensation from us in other ways, including:

- Transition assistance if he or she moves to SAL from another company. This assistance can include forgivable loans, and/or waving or reducing other costs associated with transitioning the Registered Representative's business. This assistance creates an incentive to migrate and maintain business on our platform from another investment platform, and to sell or recommend the sale of investments held in an account if we do not offer those investments.

- Waived or reduced costs and fees (e.g., for administrative services that we provide for your accounts). These waived and reduced costs and fees create an incentive for Registered Representatives to associate with us instead of other financial firms. Your Professional is legally required to act in your best interest and not put his or her interests ahead of your own. We have systems in place to mitigate the conflicts of interest that arise from the way he or she makes money, including systems to review whether a recommendation is in your best interest.

Disciplinary History

Both our Firm and a portion of our representatives have disciplinary histories. You can access the legal and disciplinary histories of SAL and our Representatives using a free and simple search tool at https://www.investor.gov/CRS and https://brokercheck.finra.org/

QUESTIONS TO ASK YOUR PROFESSIONAL:

- Does your firm have legal or disciplinary history?
- As a financial professional, do you have any disciplinary history?
- For what type of conduct?

Additional Information

Member FINRA/SIPC

For more information about Supreme Alliance LLC's brokerage or investment advisory services, visit our website at www.supremealliancellc.com or call (561) 460-2780. View our Form ADV Part 2 brochure at https://adviserinfo.sec.gov/firm/summary/45348 and our Regulation BI Disclosure at https://www.supremealliancellc.com/wp-content/uploads/2020/10/Reg-BI-Recommendations-and-Conflict-of-Interest-Disclosure_SAL.pdf

We also encourage you to review the general information provided by both the U.S. Securities and Exchange Commission and the Financial Regulatory Authority (FINRA) regarding investing, choosing an investment professional, and related considerations, available by visiting https://www.investor.gov

QUESTIONS TO ASK YOUR PROFESSIONAL:

- Who is my primary contact person?
- Is he or she a representative of an investment adviser or a broker dealer?
- Who can I talk to if I have concerns about how this person is treating me?